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PATENT  
SJO920030060US1  
0037.0055

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Not Yet Assigned
A.H. Hochberg et al.	)	
Serial No.: 10/658,487	)	
Filed: September 8, 2003	)	Art Unit: 2186
For: METHOD, SYSTEM, AND	)	
PROGRAM FOR RETENTION	)	
MANAGEMENT AND	)	
PROTECTION OF STORED	)	
OBJECTS	)	

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0466. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,



David W. Victor

Dated: July 25, 2005

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Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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of

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**Complete if Known**

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Filing Date	September 8, 2003
First Named Inventor	A.H. Hochberg et al.
Art Unit	2186
Examiner Name	Not Yet Assigned
Attorney Docket Number	SJO920030060US1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code (if known)			
		US-4899299	02-06-1990	MacPhail	
		US-5051891	09-24-1991	MacPhail	
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		US-5689699	11-18-1997	Howell et al.	
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		US-2002/0156767 A1	10-24-2002	Costa et al.	
		US-2003/0101072 A1	05-29-2003	Dick et al.	
		US-2003/0126215 A1	07-03-2003	Udell et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code- Number- Kind Code				

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
		IT Web, "EMC Centera Compliance Edition Raises Bar for Regulatory Compliance", EMC SA Press Release on ITWeb, June 23, 2003, pp. 1-2 [retrieved online 9-3-2003] <a href="http://www.itweb.co.za/sections/QuickPrint/Print.asp?StoryID=134765">http://www.itweb.co.za/sections/QuickPrint/Print.asp?StoryID=134765</a>	
		EMC Corporation, "EMC Centera Compliance Edition", 2003, pp. 1-4	
		EMC Corporation, "Centera Compliance Edition: Understanding and Addressing the Challenges of Compliance and Discovery", White Paper, April 2003, pp. 1-10	

Examiner  
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Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.